

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): G. Weinberger et al.  
Case: 1007-12  
Serial No.: To Be Assigned  
Filing Date: October 31, 2003  
Group: To Be Assigned  
Examiner: To Be Assigned  
  
Title: Convergence Device with Dynamic Program  
Throttling Based on Power Indicator

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed, other than published U.S. patent documents.

**U.S. Patent Documents**

1. U.S. Patent No. 6,574,740 issued on 06/03/03 to Odaohhara et al.
2. U.S. Patent No. 5,719,800 issued on 02/17/98 to Mittal et al.
3. U.S. Patent No. 5,701,337 issued on 12/23/97 to Silver et al.
4. U.S. Patent Application Publication No. 2003/0188210 A1 published on 10/02/03
5. U.S. Patent Application Publication No. 2003/0159024 A1 published on 08/21/03
6. U.S. Patent Application Publication No. 2002/0047797 A1 published on 04/25/02
7. U.S. Patent Application Publication No. 2002/0025839 A1 published on 02/28/02

**Foreign Documents**

1. Japanese Patent Application 2002-353846 published 12/06/02, with English-language abstract only.

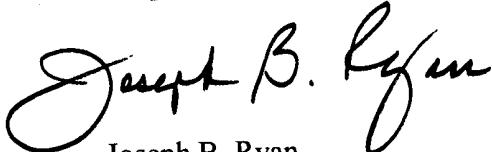
2. Japanese Patent Application 2002-176493 published 06/21/02, with English-language abstract only.
3. Japanese Patent Application 2002-064596 published 02/28/02, with English-language abstract only.
4. Japanese Patent Application 2001-352396 published 12/21/01, with English-language abstract only.

Other Documents

1. J. Glossner et al., "A Software Defined Communications Baseband Design," IEEE Communications Magazine, Vol. 41, No. 1, 10 pages, January 2003.
2. J. Glossner et al., "Multi-Threaded Processor for Software-Defined Radio," Proceedings of the 2002 Software Defined Radio Technical Conference, Vol. 1, 6 pages, November 11-12, 2002.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,



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Date: October 31, 2003

**FORM PTO-1449 (MODIFIED)****LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**

Applicant(s): G. Weinberger et al.  
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 Serial No.: TBA  
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 Group: TBA

**U.S. PATENT DOCUMENTS**

<u>EXAMINER</u>	<u>DOCUMENT NO.</u>	<u>DATE</u>	<u>NAME</u>	<u>CLASS/SUBCLASS</u>	<u>FILING DATE</u>	<u>IF APPROPRIATE</u>
	1. 6,574,740	06/03/03	Odaohhara et al.			
	2. 5,719,800	02/17/98	Mittal et al.			
	3. 5,701,337	12/23/97	Silver et al.			
	4. 2003/0188210 A1	10/02/03	Nakazato			
	5. 2003/0159024 A1	08/21/03	Chen			
	6. 2002/0047797 A1	04/25/02	Mercke et al.			
	7. 2002/0025839 A1	02/28/02	Usui			

**FOREIGN PATENT DOCUMENTS**

<u>EXAMINER</u>	<u>DOCUMENT NO.</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS/SUBCLASS</u>	<u>TRANSLATION</u>
<u>INITIAL</u>					<u>YES</u> <u>NO</u>
	1. 2002-353846	12/06/02	Japan		x (abstract only)
	2. 2002-176493	06/21/02	Japan		x (abstract only)
	3. 2002-064596	02/28/02	Japan		x (abstract only)
	4. 2001-352396	12/21/01	Japan		x (abstract only)

**OTHER DOCUMENTS**

<u>EXAMINER</u>	<u>REF NO.</u>	<u>AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.</u>
	1.	J. Glossner et al., "A Software Defined Communications Baseband Design," IEEE Communications Magazine, Vol. 41, No. 1, 10 pages, January 2003.
	2.	J. Glossner et al., "Multi-Threaded Processor for Software-Defined Radio," Proceedings of the 2002 Software Defined Radio Technical Conference, Vol. 1, 6 pages, November 11-12, 2002.

Examiner

Date Considered

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.